



#9 / 1-22-03  
R. Stohler

PATENT  
Customer No. 22,852  
Attorney Docket No. 04329.2622

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
)  
Gaku MINAMIHABA, et al. ) Group Art Unit: 2823  
)  
Serial No.: 09/932,943 ) Examiner: Lee, Hsien Ming  
)  
Filed: August 21, 2001 )  
)  
For: SLURRY FOR CHEMICAL )  
MECHANICAL POLISHING AND )  
METHOD OF MANUFACTURING )  
SEMICONDUCTOR DEVICE )

Commissioner for Patents and Trademarks  
Washington, DC 20231

Sir:

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**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(c)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(c), Applicants bring to the attention of the Examiner the document listed on the attached PTO 1449 form. To the undersigned representative's knowledge at the time this paper was filed, Applicants are filing this Information Disclosure Statement after the events recited in Section 1.97(b), though before the mailing date of either a Final action, Quayle action, or a Notice of Allowance for the above-referenced application.

Applicants attach a copy of the listed document, and respectfully request that the Examiner consider the listed document and indicate it was considered by making appropriate notation on the attached form.

In lieu of a statement of relevance or translation of the non-English document, Applicants enclose an English language version of a Taiwanese Office Action, issued

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October 4, 2002, in a counterpart application, setting forth the relevance of the attached document.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that the listed document is material or constitutes "prior art." If the Examiner applies the document as prior art against any claims in the application and Applicants determine that the cited document does not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of the document.


Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed document, should this document be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: January 2, 2003

By:  Reg. 24,014  
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